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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

APPLICANT(S): Yamaura et al. ATTORNEY DOCKET NO.: 112857-290  
SERIAL NO.: 09/967,309 GROUP ART UNIT:  
DATE FILED: September 28, 2001 EXAMINER:  
INVENTION: FUEL CELL AND METHOD FOR PREPARATION THEREOF

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

S I R:

In accordance with the provisions of 37 CFR §1.56, Applicant requests that citation and examination of the following documents be made during the course of examination of the above-referenced application for United States Letters Patent.

AA	US 2002/0006539	1-17-02	Kubota et al.
AL	3-167712	7-19-91	Japan
AM	7-130372	5-19-95	Japan
AN	7-326363	12-12-95	Japan
AO	8-88008	4-2-96	Japan
AP	10-189002	7-21-98	Japan
AT	Che et al., Carbon Nanotubule Membranes for Electrochemical Energy Storage and Production, NATURE, pages 346-349, May 1998		

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**REMARKS**

Applicant looks forward to early and favorable consideration of this matter.

Respectfully submitted,

(Reg. No. 46,541)

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37 CFR 1.501  
 INFORMATION DISCLOSURE STATEMENT  
 IN A PATENT  
 (use several sheets if necessary)



Docket No.  
 112857-290

Serial No.  
 09/967,309

Applicant:  
 Yamaura et al..

Filing Date  
 9-28-01

Group Art Unit

### U.S. PATENT DOCUMENTS

Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	AA	US 2002/0006539	1-17-02	Kubota et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL	3-167712	7-19-91	Japan				
	AM	7-130372	5-19-95	Japan				
	AN	7-326363	12-12-95	Japan				
	AO	8-88008	4-12-96	Japan				
	AP	10-189002	7-21-98	Japan				
	AQ							
	AR							
	AS							

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AT	Che et al., Carbon Nanotubule Membranes for Electrochemical Energy Storage and Production, NATURE, pages 346-349, May 1998

Examiner

Date Considered

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1745

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112857-290

In Re Application Of: Yamaura et al.

MAR 07 2002



Serial No.  
09/967,309

Filing Date  
Sept. 28, 2001

Examiner

Group Art Unit

Title: FUEL CELL AND METHOD FOR PREPARATION THEREOF

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(d) of 157(c))

Docket No.  
112857-290

In Re Application: Yamaura et al.

MAR 07 2002

Serial No.

09/967,309

Filing Date

Sept. 28, 2001

Examiner

Group Art Unit

**FUEL CELL AND METHOD FOR PREPARATION THEREOF**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited Feb. 27, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

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Dated: February 27, 2002

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